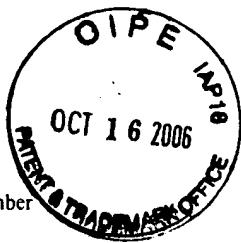


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Customer Number

Patent  
Case No.: 56464US003

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: OXMAN, JOEL D.

Application No.: 10/626,261

Confirmation No.: 9585

Filed: July 24, 2003

Title: HARDENABLE THERMALLY RESPONSIVE COMPOSITIONS

INFORMATION DISCLOSURE STATEMENTMail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

- ☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Oct. 12, 2006  
Date  
Signed by: Judy Knutson

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

10/17/2006 JBALINAN 00000023 133723 10626261

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**Fees:**

☒ Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.  
One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

Oct. 9, 2006

Date

By:

Sean J. Edman

Sean J. Edman, Reg. No.: 42,506

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Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

Application Number

10/626,261

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Filing Date

July 24, 2003

First Named Inventor

Oxman, Joel D.

Confirmation Number

Attorney Case Number

56464US003

(Use as many sheets as necessary)

Page 1 of 1

OCT 16 2006

## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US-			
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			

## Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
	B1	JP	04-041423	02-12-1992	Okano et al.		X
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

## OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		
	C3		

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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